

#3
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/066,061	04/24/98	ZAVRACKY	M KPN97-04A2

0222/061
THOMAS D HOOVER
100 ALITIA DRIVE
LEXINGTON MA 02173-4799

NOT ASSIGNED

2772

DATE MAILED:

06/01/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 1008.00.

- ☒ 1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ 88.00, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ 88.00 for 4 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

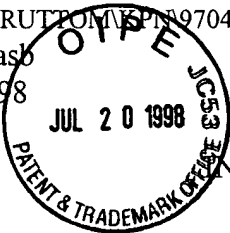
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RIB/asb

7/17/98



PATENT APPLICATION

Docket No.: KPN97-04A2

SECTOR F

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Matthew Zavracky, Jeffrey Jacobsen, Frederick P. Herrmann, Wen-Foo
Chern, Hiap L. Ong, John C.C. Fan, Bor-Yeu Tsaur, and Alan Richard

Application No.: 09/066,061 Group: 2772

Filed: April 24, 1998 Examiner: Not Assigned

For: PORTABLE DISPLAY SYSTEM WITH CARD READER

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>7-17-98</u>	<u>Anne Barnes</u>
Date	Signature
<u>Anne Barnes</u>	
Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated June 1, 1998, the following documents and fees are being submitted for filing in the captioned application:

- ☒ **EXECUTED DECLARATION/POWER OF ATTORNEY** - A copy of the Notice is attached.
(Separate transmittal letter and postcard not required)
- ☒ **FILING FEE** - with Fee Transmittal for Patent Applications in duplicate.
(Separate transmittal letter and postcard not required)
- ☒ **SURCHARGE** - surcharge fee of \$130.00.
(Separate transmittal letter and postcard not required)
- ☐ **SEQUENCE LISTING** - Filed concurrently and is attached.
(Separate transmittal letter and postcard required)

- ☐ **PETITION FOR EXTENSION OF TIME** - A ☐ month extension of time to respond to the Notice to File Missing Parts is requested. A petition for the extension and appropriate fee (\$☐) are being filed concurrently.

(Separate Petition for Extension of Time required; separate postcard not required. Extension fee included with accompanying fees.)

- ☒ **REQUEST FOR CORRECTED FILING RECEIPT** - Filed concurrently and is attached.

(Separate transmittal letter and postcard required)

- ☐ **VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**

- ☐ Was filed on ☐.

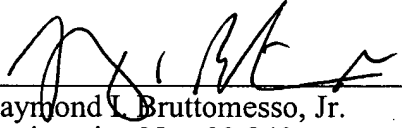
- ☐ Is enclosed herewith.

(Separate transmittal letter and postcard not required)

- ☐ In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$☐ which were paid on ☐ to be deposited in Attorney's Deposit Account No. 08-0380.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$1,008.00. Authorization is hereby granted to charge any additional fees due to Attorney's Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

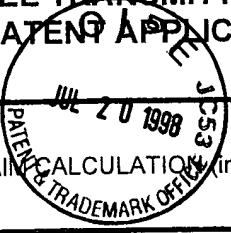

Raymond L. Bruttomesso, Jr.
Registration No.: 33,840
Tel.: (781) 861-6240
Fax: (781) 861-9540

Lexington, Massachusetts 02421-4799

Date: 17 July 1998

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS		Attorney Docket Number	KPN97-04A2	
		Application Number	09/066,061	
		First Named Inventor	Matthew Zavracky	



CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
<div style="writing-mode: vertical-rl; transform: rotate(180deg);"> TOTAL CLAIMS (37 CFR 1.16(c) or (j)) </div>	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	24 - 20* =	4	x \$ 22 =	\$ 88
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	3 - 3** =	0	x \$ 82 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 790
	Total of above Calculations =				\$ 878
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
	TOTAL =				\$ 878
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 130
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = (only when filed with application)				\$ 1,008
				TOTAL =	\$

* Reissue claims in excess of 20 and over original patent.
 ** Reissue independent claims over original patent.

1. Small entity status:

a. ☐ A small entity statement is enclosed.

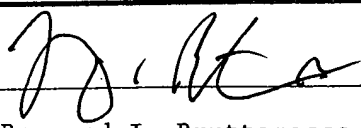
b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.

c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$ 1,008 ☐ Please charge \$ _____ to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature		Date	July 17, 1998
Submitted by Typed or Printed Name	Raymond I. Bruttomesso, Jr.	Reg. Number	33,840

KPN97-04A2

K:\RBRUTTOM\KPN\9704A2\FCFR\RA

RIB/asb

7/17/98



#4
PATENT APPLICATION
Docket No.: KPN97-04A2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Matthew Zavracky, Jeffrey Jacobsen, Frederick P. Herrmann, Wen-Foo Chern, Hiap L. Ong, John C.C. Fan, Bor-Yeu Tsaur, and Alan Richard

Application No.: 09/066,061

Group Art Unit: 2772

Filed: April 24, 1998

Examiner: Not Assigned

For: PORTABLE DISPLAY SYSTEM WITH CARD READER

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>7-17-98</u>	<u>Anne Barnes</u>
Date	Signature
<u>Anne Barnes</u>	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT

Customer Correction Branch
Application Processing Division
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In view of the concurrently filed Declaration/ Power of Attorney, we hereby request that the following items of information be corrected in and added to the Filing Receipt for the subject application received in this office on June 3, 1998.

The number of Independent claims filed in application total 3. The filing receipt lists the total independent claims as 2.

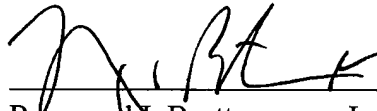
Correction of one of the spelling of one applicant's name to Frederick P. Herrmann. The filing receipt lists applicant name as Frederick P. Hermann.

Correction to include residence city and state for each applicant, as follows: Matthew Zavracky, Plympton, MA; Jeffrey Jacobsen, Hollister, CA; Frederick P. Herrmann, Sharon, MA; Wen-Foo Chern, Wayland, MA; Hiap L. Ong, Taipei, Taiwan; John C.C. Fan, Chestnut Hill, MA; Bor-Yeu Tsaur, Lexington, MA; and Alan Richard, Wrentham, MA. The filing receipt does not list applicant residence city or state.

Enclosed is a copy of the Filing Receipt with changes noted in red. Please make the changes as noted above, and issue a Corrected Filing Receipt.

Since the errors were made by the U.S. Patent and Trademark Office and not by Applicants or Applicants' Attorney, it is understood that there are no additional fees for the requested corrected Filing Receipt.

Respectfully submitted,



Raymond I. Bruttomesso, Jr.

Registration No.: 33,840

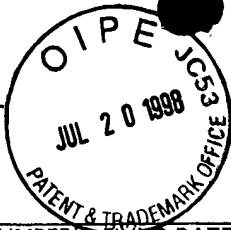
Telephone: (781) 861-6240

Facsimile: (781) 861-9540

Lexington, MA 02421-4799

Date: 17 July 1997

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/066,061	04/24/98	2772	\$0.00	KPN97-04A2	61	24	2

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THOMAS O HOOVER
HAMILTON BROOK SMITH & REYNOLDS
TWO MILITIA DRIVE
LEXINGTON MA 02173-4799

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

Herrmann MATTHEW ZAVRACKY; JEFFREY JACOBSEN; FREDERICK P. HERMANN; WEN-FOO CHERN; HIAP L. ONG; JOHN C.C. FAN; BOR-YEU TSAUR; ALAN RICHARD.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 09/004,706 01/08/98
WHICH IS A CIP OF 08/961,744 10/31/97

FOREIGN FILING LICENSE GRANTED 06/01/98
TITLE
PORTABLE DISPLAY SYSTEM WITH CARD READER

PRELIMINARY CLASS: 345

Matthew Zavracky, Plympton, MA; Jeffrey Jacobsen, Hollister, CA;
Frederick P. Herrmann, Sharon, MA; Wen-Foo Chern, Wayland, MA;
Hiap L. Ong, Taipai, Taiwan; John C.C. Fan, Chestnut Hill, MA;
Bor-Yeu Tsaur, Lexington, MA; Alan Richard, Wrentham, MA.

